

Information Note¹

- Event:** Workshops on Non-Proliferation & Export Compliance
- Organizer:** China Arms Control and Disarmament Association (CACDA) in cooperation with the King's College London Centre for Science and Security Studies (CSSS). As for Beijing event, it is also in cooperation with China Machinery Engineering Corporation (CMEC).
- Date and Venue:** 10 March 2014, Beijing, China
13-14 March 2014, Tianjin, China
- Participants:** Beijing event: 30 CMEC representatives
- Tianjin event:
- Governmental officials:* Ministry of Foreign Affairs, China Customs, and local CWC authorities (Tianjin Municipality, Shandong Province and Hebei Province)
- Non-governmental organizations:* China Controlled Chemicals Association (CCCA)
- Industry:* 43 representatives from China Machinery Engineering Corporation (CMEC), China North Industries Corporation (NORINCO), POLY Technologies INC, Aviation Corporation of China (AVIC)'s subsidiary China National Aero-Technology Import & Export Corporation (CATIC), Sinochem Group, China Great Wall Industry Corporation (CGWIC), Hebei Chengxin Co., Ltd. Hangzhou Huarun Industry Co.,Ltd. and other related enterprises.

1. **Objectives of Participation of 1540 expert:**

- Bring to the attention of the participants the key role of resolution 1540 (2004) in the context of the topic of the conference.
- Support the organizers by highlighting the key issues in the area of export controls from the perspective of resolution 1540 (2004) with a view to facilitating the promotion of awareness of Chinese industries on export controls and establishing self-compliance mechanisms;
- Network with representatives from the private sector to get a better picture of the challenges that they are facing in implementing national export controls.

2. **Background**

¹ For information – not an official report. The views expressed here do not necessarily represent those of the 1540 Committee or of the organisers or participants in the event.

- Resolution 1540 (2004) calls upon States to develop appropriate ways to work with and inform industry and the public. Resolution 1977 (2011) encourages the 1540 Committee, at its discretion, to draw also on relevant expertise, including the private sector, with, as appropriate, their state's consent.
- The outreach workshop forms part of the work of the Project on Proliferation Procurement, a UK government-funded project which runs by King's College London and seeks to build partnerships with the private sector to mitigate proliferation risks. Previous workshops under the terms of this project were held in Qingdao in February 2012 and in Dalian in November 2012. The 1540 expert was invited to the workshops for the first time by Mr. Chen Kai, Secretary General of CACDA.

3. **Assessment**

The workshops included more than 70 participants from Chinese industries. The two workshops provided training for those industry representatives, especially those business managers, who are in charge of negotiating, signing or implementing contracts with foreign counterparts. The training is based on the idea that these people are the first line of defence in the field of export controls and need to have a good knowledge of the whole spectrum of controls and obligations under national export control laws. The workshops raised the awareness of the representatives from industry on export controls, helped them to understand the role an enterprise or a manager should play in effective compliance. They also promoted the industry's internal compliance capabilities, taught them to establish or maintain a comprehensive Industry Compliance Programme (ICP) and bolstered the implementation of effective national export controls. The workshops were an endeavour of the Chinese side to reach to industries to promote non-proliferation and domestic controls over weapons of mass destruction (WMD) and related materials and technologies.

4. **Additional comments**

For further information, please contact the 1540 Committee experts by e-mail at 1540experts@un.org